



Partitioning and Solving Large-scale Tactical Harvest Scheduling

Problems for Industrial Plantation Forests

Bellavenutte P.¹, Chung W.², Diaz-Balteiro L¹.

1 Forest Engineering and Management, Universidad Politécnica de Madrid, Spain.

2 Forest Engineering Resource and Management, Oregon State University, United States.

Background



- ✓ **Industrial forest plantations and decision support models**
- ✓ **Tactical planning and operational efficiency**
- ✓ **Decide the operations carried out in space and time**
- ✓ **Combinatorial complexity**

Tactical Harvest Scheduling Problems for Industrial Plantation Forests

➤ Objective:

Minimize operational costs and achieve volume production targets

➤ Optimized Operations (most expensive activities):

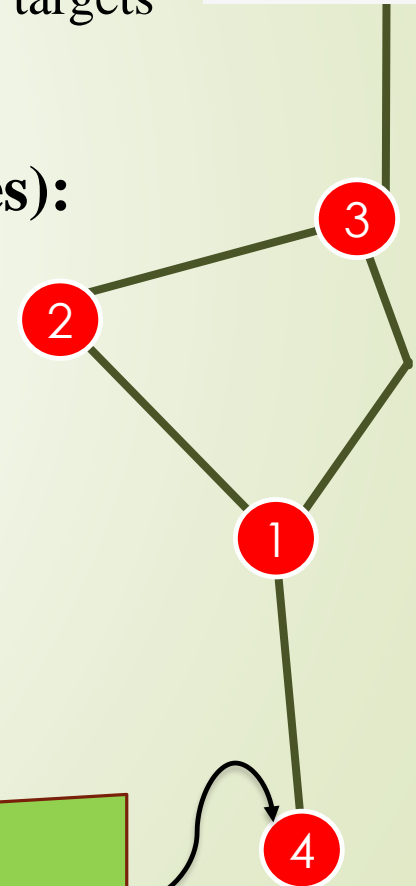
Harvesting

Road maintenance

Transportation

➤ Time horizon and periods:

6 Years with six-month periods



Stand



Objective

- ✓ The **main objective** of this study is to develop an optimization procedure to overcome the limitation of the mixed integer linear programming approach in solving large combinatorial tactical problems.

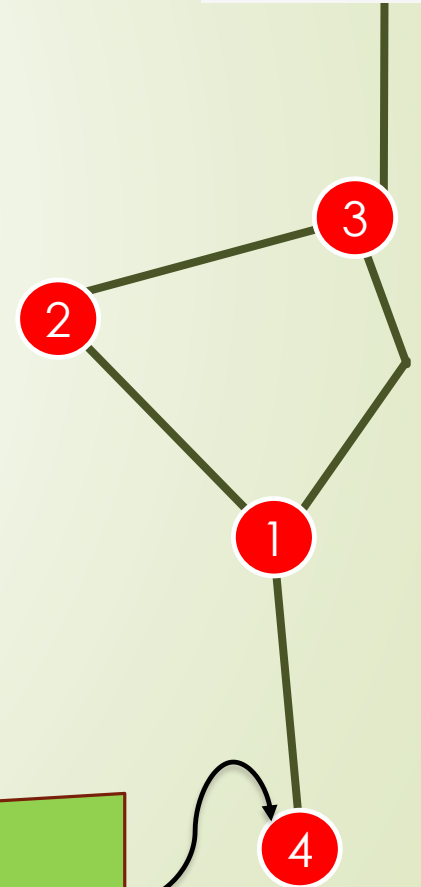


Decision variables

$$\sum_{s=1}^S \sum_{p=1}^{PH} \text{Harvesting_Cost}_{sp} * \text{Prescription}_{sp} +$$

$$\sum_{i,j=1}^N \sum_{p=1}^{PH} \text{Maintenance_Cost}_{ijp} * \text{Road}_{ijp} +$$

$$\sum_{i,j=1}^N \sum_{P=1}^{PH} \text{Transport_Cost}_{ijp} * \text{Flow}_{ijp}$$



Fixed Charge Transportation Model Formulation

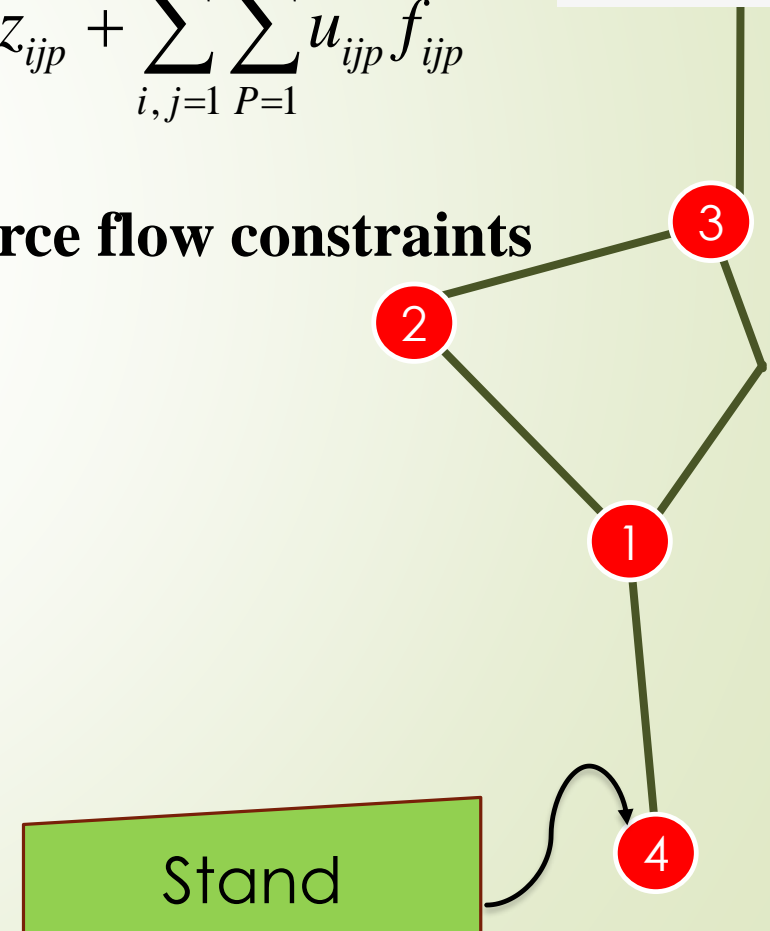
✓ Objective function

$$\text{Min}Z = \sum_{s=1}^S \sum_{p=1}^{PH} c_{sp} r_{sp} + \sum_{i,j=1}^N \sum_{p=1}^{PH} c_{ijp} z_{ijp} + \sum_{i,j=1}^N \sum_{P=1}^{PH} u_{ijp} f_{ijp}$$

✓ Management prescriptions and source flow constraints

$$\sum_{p=1}^{PH} r_{sp} \leq 1 \quad \forall s \quad (1)$$

$$\sum_{j \in ns} f_{sjp}^- = \text{Vol}_{sp} r_{sp} \quad \forall s, p \quad (2)$$



✓ **Conservation flow constraints**

$$\sum_{j \in \bar{n}_s} f_{sjp}^- + \sum_{i \in N_j} f_{ijp} - \sum_{k \in N_j} f_{jkp} = 0 \quad \forall j, p \quad (3)$$

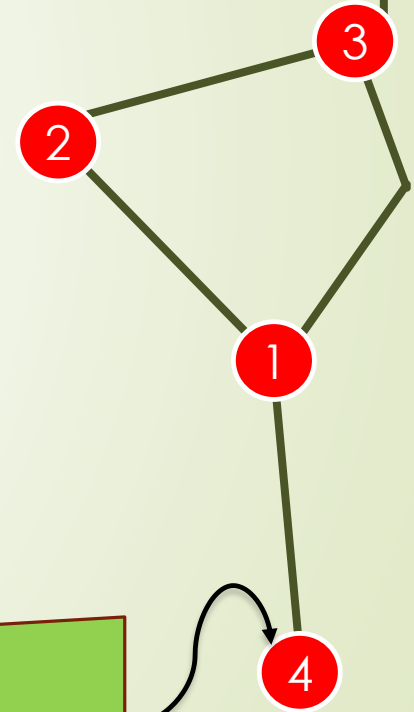
✓ **Demand constraint**

$$f_{icp}^- \geq t_{volp} \quad i \in \bar{n}_c, \forall p \quad (4)$$

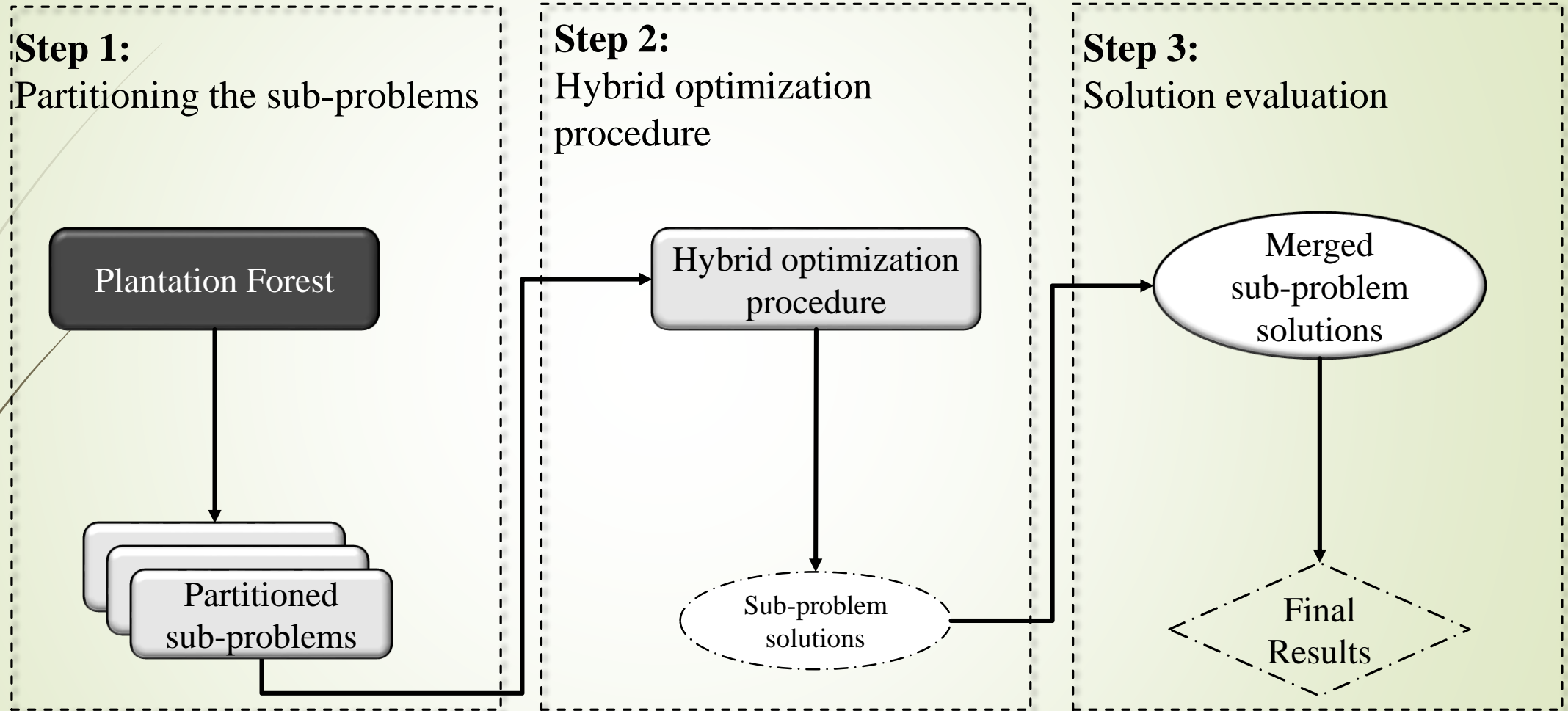
✓ **Fixed charge constraints**

$$f_{ijp} + f_{jip} - Mz_{ijp} \leq 0 \quad \forall j, p \quad (5)$$

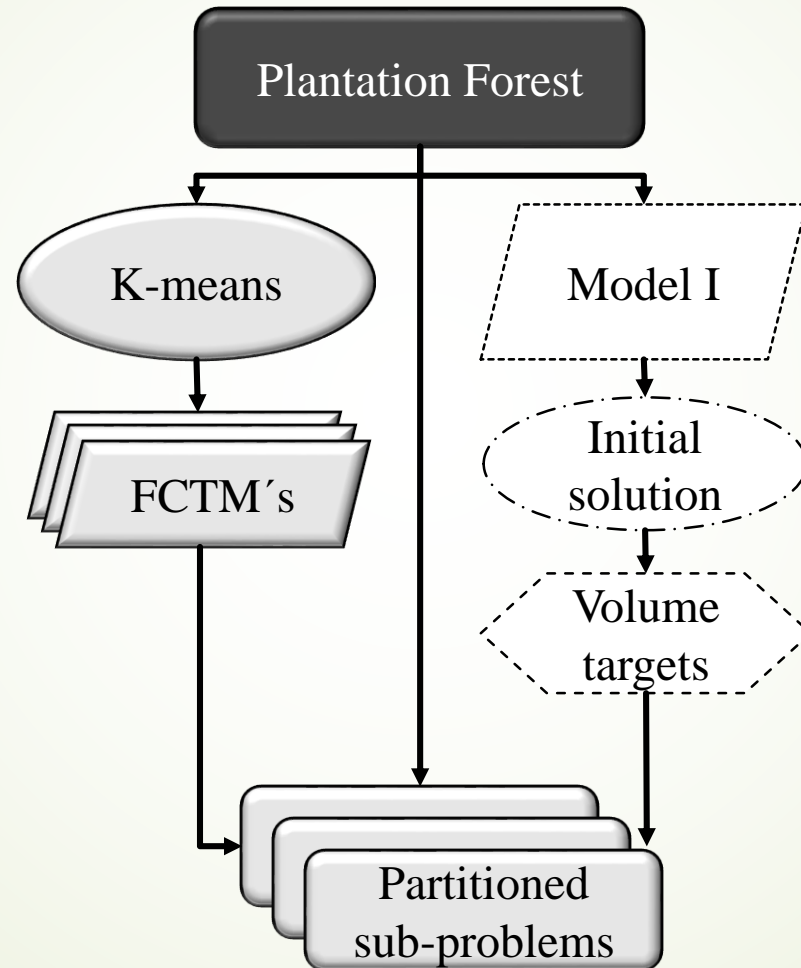
$$r_{sp}, z_{ijp} \in \{0, 1\}$$



✓ Optimization procedure

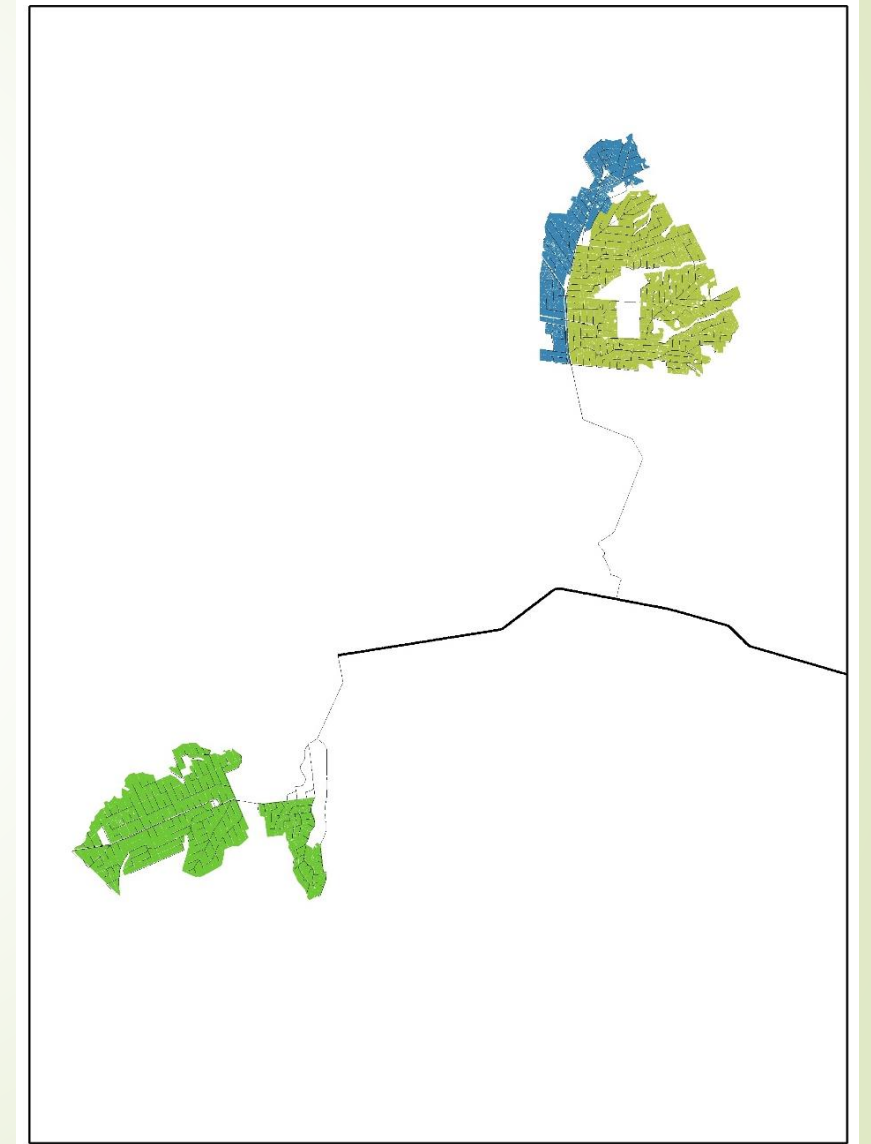


Step 1: Partitioning the sub-problems

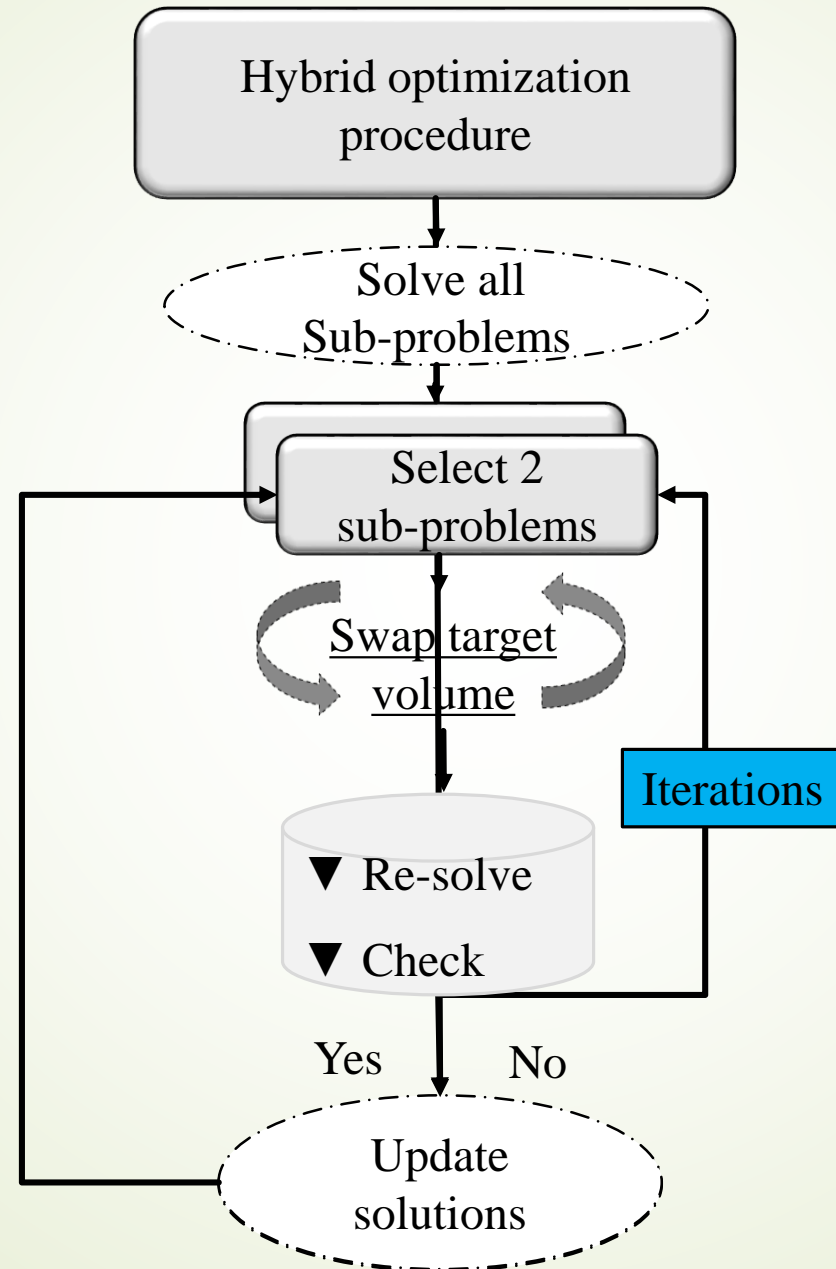


K-means clustering algorithm (Hartigan, and Wong, 1979)

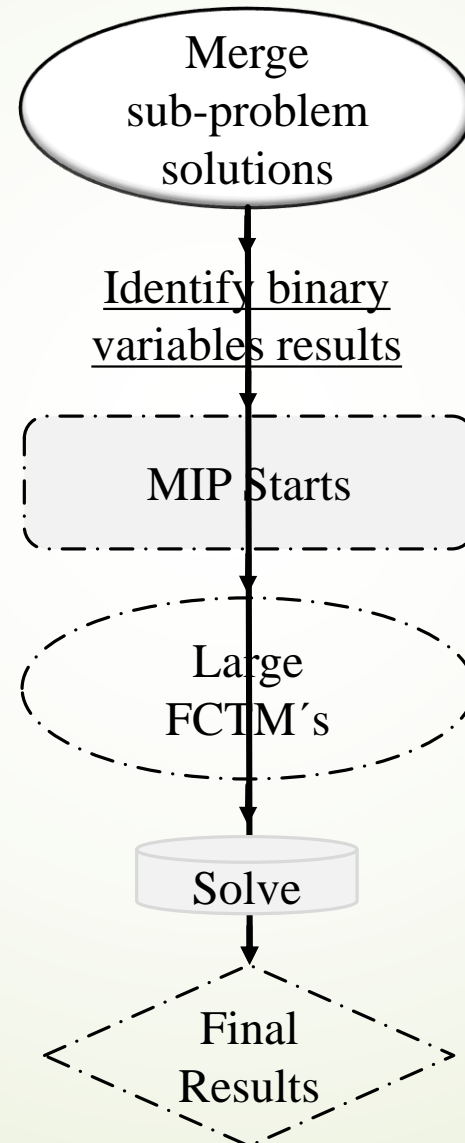
- ✓ K-means clustering algorithm
- ✓ Minimize the stand distance by road in each cluster
- ✓ Dijkstra's Shortest Path algorithm for each pair of existing stands



Step 2: Hybrid optimization procedure



Step 3: Generating the MIP start to the large problem



Gurobi Optimizer 8.1 with R Interface
Intel® Core™ i7-7700U 3.60GHz with
32 Gb of RAM.

Case study

➤ **Planning horizon of 6 years with 12 six-month periods**

➤ **Shapefiles:**

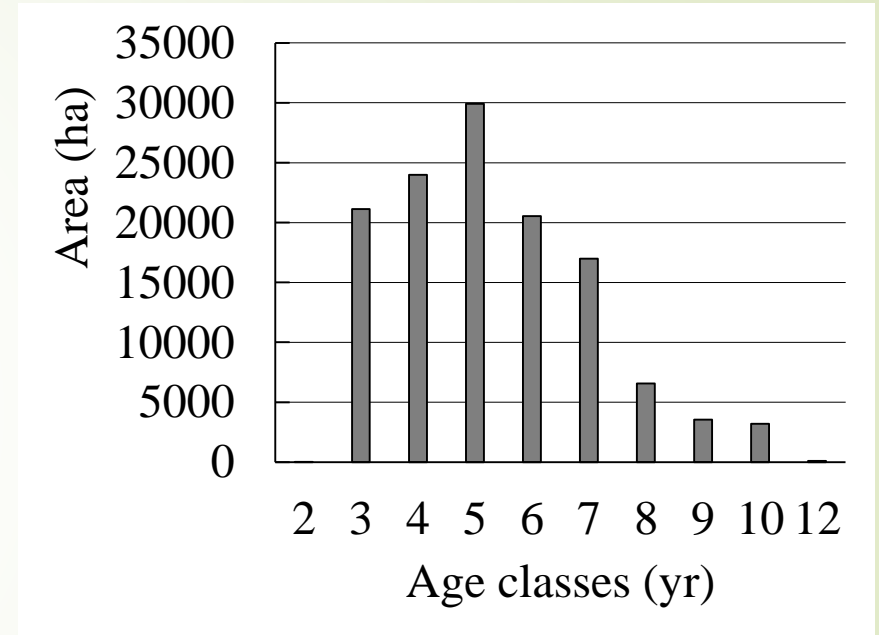
- 2,935 eucalyptus spp. stands
- 3,900 road sections

➤ **Production target to the entire forest:**

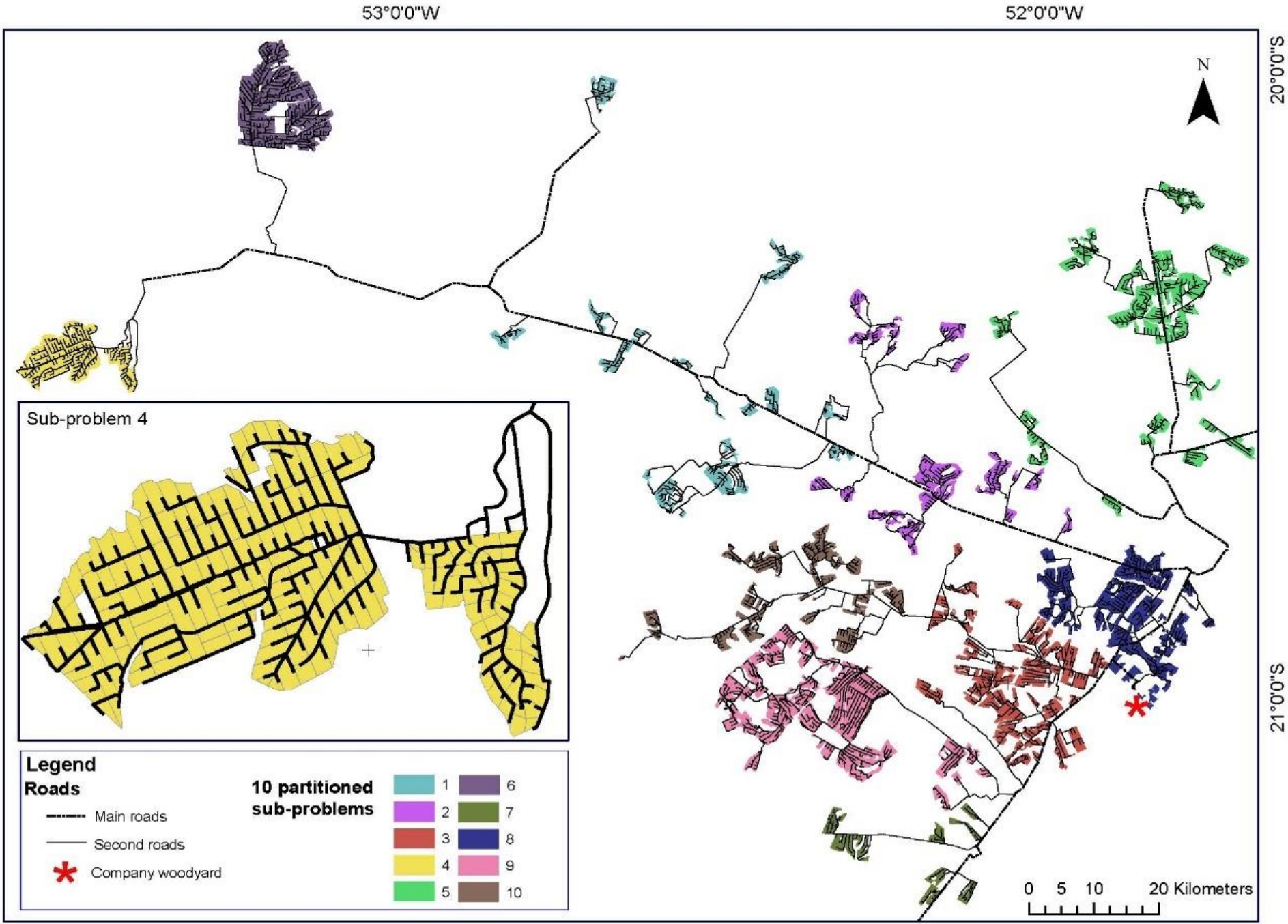
- 4.1 millions of m³ per six-month

➤ **Operational costs** (average values are used):

- Harvesting: 8.9-13.4 R\$/m³
- Road maintenance: 25,000 R\$/km
- Log transportation: 0.4 R\$/m³/km



Study case



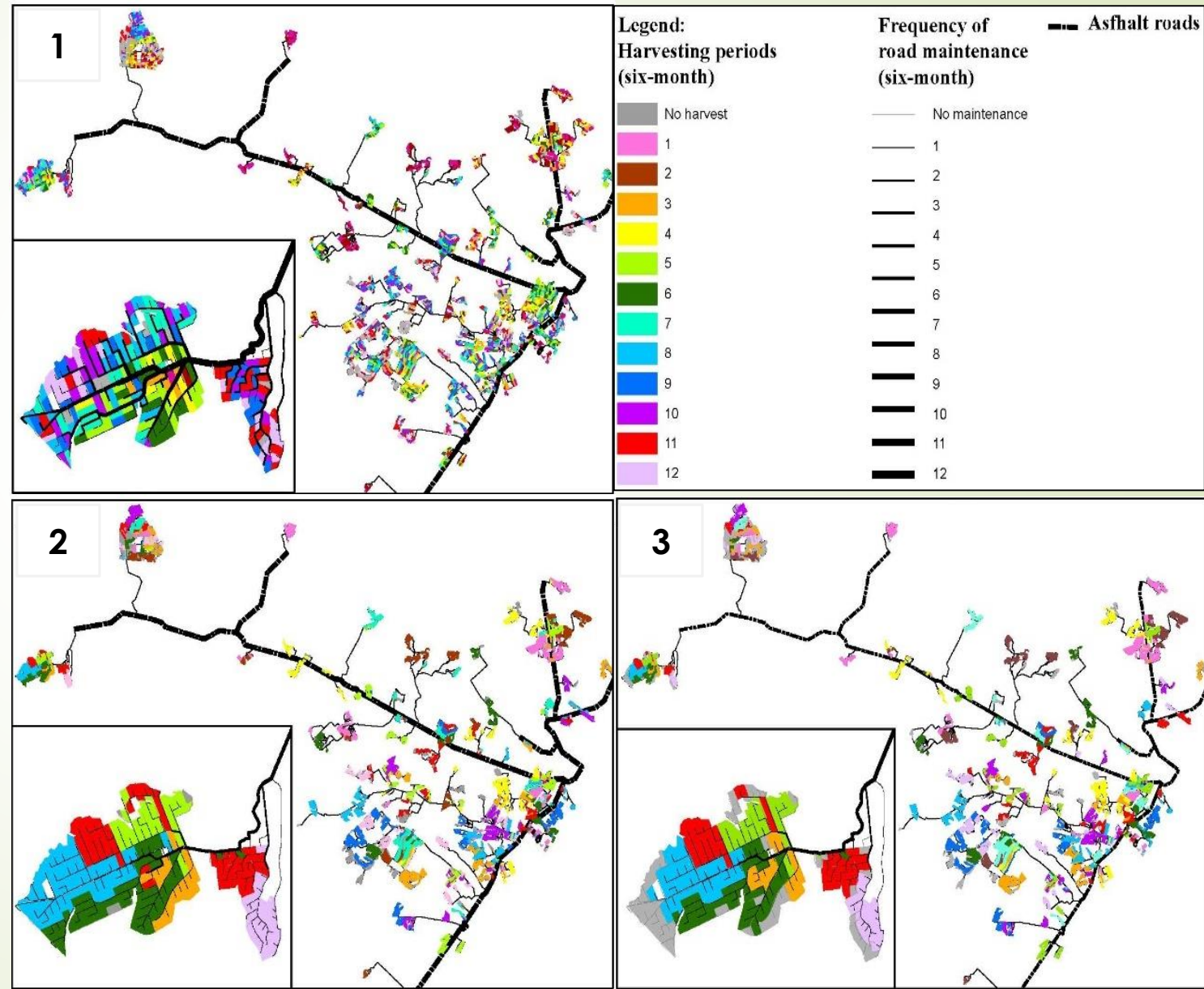
Results

Comparisons of Step 1, Step 2 and Step 3 solutions in terms of operational costs.

Case	Step 1	Step 2	Step 3
Harvesting (MM.R\$)	423	431	425
Road cost - maintenance (MM.R\$)	405	180	163
Road cost - transporting (MM.R\$)	164	164	155
Total cost (MM.R\$)	992	774	742
Volume production (MM.m ³)	49	49	49
Unit production cost (R\$/m ³)	19.97	15.56	15.04
GAP (%)	-	-	8

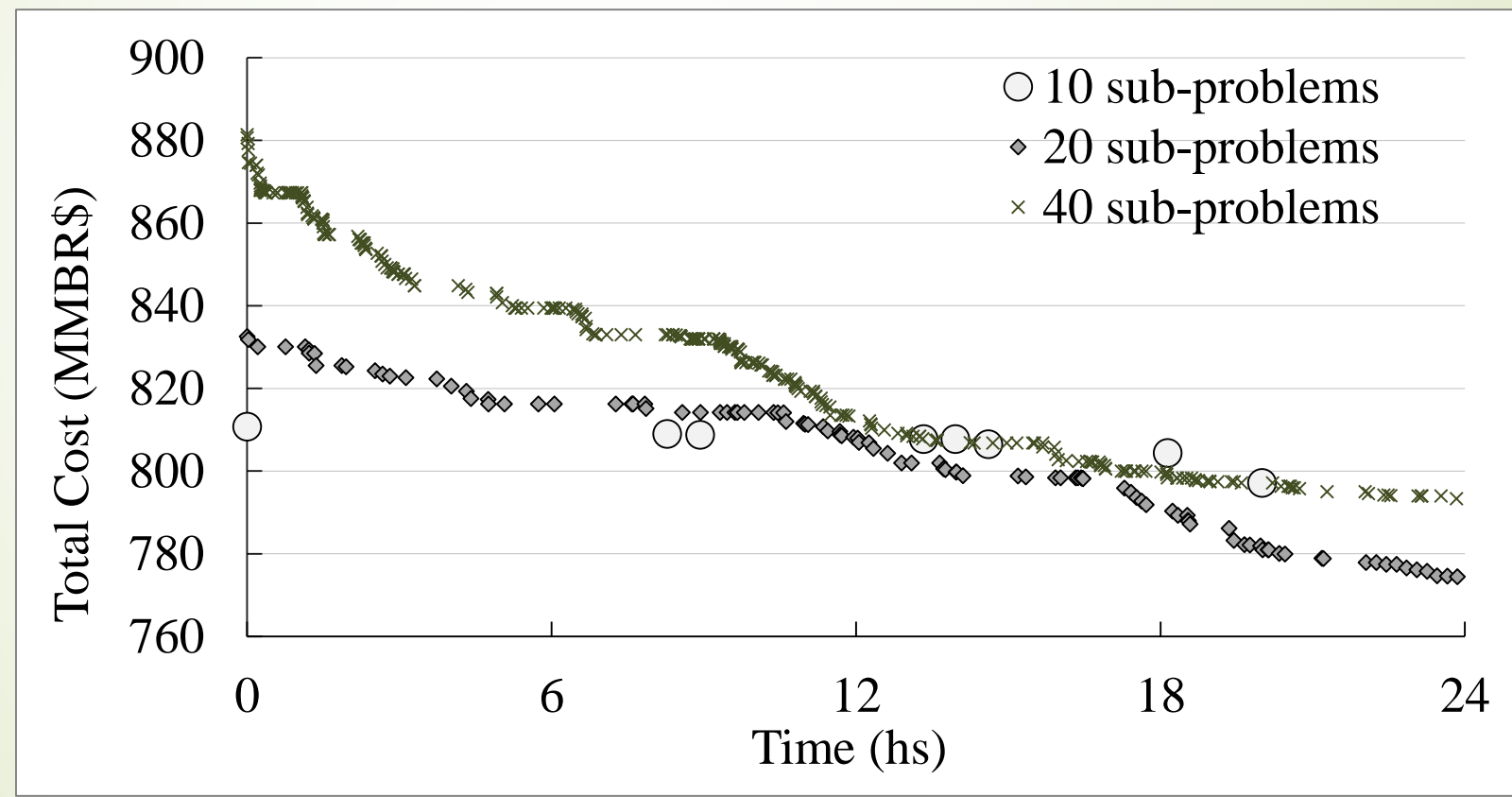
Results

Spatial and temporal distribution of the harvesting schedule developed in Step 1-3.



Results

Sensitivity analysis for the number of 10, 20 and 40 partitioned sub-problems (Step 2).



Results

General results of Step 1-3.

Step	1	2(10)	2(20)	2(40)	3(10)	3(20)	3(40)
Harvesting (MM.R\$)	423	427	431	430	425	425	425
Road cost maintenance (MM.R\$)	405	207	180	201	186	163	168
Road cost transporting (MM.R\$)	164	163	164	163	154	155	150
Total cost (MM.R\$)	992	797	774	793	765	742	743
Gap (%)	-	-	-	-	12	8	8
Volume production (MM.m ³)	49,7	49,4	49,8	49,7	49.4	49.3	49.3
Unit production cost (R\$/m ³)	19,97	16,13	15,56	15,95	15.50	15.04	15.05

Conclusions:



- Tactical planning integrating harvest and road network
- The partitioning and solving optimization approach generated a good quality solution
- The information allows to evaluate different investments
- Alternative optimization tool for decision support systems



Acknowledgements:

